Dana Jones & Amy Urquhart CPA, CFP®
843-665-6321

WebsterRogers
Financial Advisors LLC

Privacy Policy

WebsterRogers Financial Advisors, LLC ("WRFA", "we," "our," or "us") is committed to safeguarding the confidentiality and security of your personal information. The following information is provided, as required by law. This Privacy Policy explains how we will handle, maintain, use, share and protect confidential non-public personal information as we fulfill our obligations to protect your privacy. "Personal information" refers to the nonpublic financial information obtained by WRFA in connection with carrying out our services.

This policy applies to current and former clients. If you decide to close your account or otherwise become a former client, WRFA will continue to protect your personal information in accordance with this Privacy Policy and Applicable law.

Information We Collect

WRFA collects personal information as part of our relationship with you that is necessary to provide client services and fulfill legal and regulatory requirements. The type of information WRFA collects may include:

Information You Provide Us:

- Identifying information (such as name, address, email address, Social security number, date of birth,)
- Financial information you provide WRFA directly about your personal finances or personal circumstances (tax-related information, account numbers, profile documents, assets and income)
- Information provided through account applications, brokerage statements or other information you authorize WRFA to receive
- Communications with us, whether written, electronic or verbal

Information We Receive from Third Parties:

- Information from Custodians, broker-dealers, clearing firms or other financial institutions with which you maintain accounts
- Information from service providers, accountants, attorneys or other professionals you authorize us to work with
- Information from regulatory or public sources as permitted by law

Information Collected through our Website and Technology
While WRFA does not explicitly collect sensitive personal information of website users unless explicitly provided by the user. When you interact through our website or digital platforms, we may collect certain technical and usage information such as:

- IP Address
- Browser type and operating system
- Pages viewed and links clicked
- Referring or exit pages
- Date and time of access

Information Disclosed in Administering Products and Services

WRFA uses personal information for legitimate business purposes and will not disclose personal information about current or former clients to nonaffiliated third parties except as permitted or required by law. WRFA uses your information to: provide investment advisory services; open, maintain, and service client accounts; communicate with you regarding your accounts and services; comply with legal, regulatory and compliance obligations; protect against fraud, unauthorized access or security threats; improve our services, systems, and client experience

WRFA does **not sell** any personal information about you to any third party.

Permitted Disclosures

WRFA will not disclose personal information without your authorization, except as required or permitted by law. WRFA may share personal information with:

- Custodians, broker dealers, and clearing firms that maintain your accounts
- Service providers, whom perform services on our behalf (such as compliance consultants, technology providers, cloud storage providers, portfolio management systems, analytics providers and marketing or website service vendors)
- Professional advisers such as attorneys, accountants and auditors
- Regulators, courts or law enforcement agencies when required by law or regulatory request.

All service providers are required to maintain the confidentiality of personal information and to use it only for the purposes for which it is provided. WRFA exercises reasonable oversight over third-party services providers that may have access to personal information. This includes evaluating their information security practices and requiring them, by contract or other appropriate means, to protect the confidentiality and security of personal information and to notify WRFA of security incidents that may affect client data.

WRFA may disclose personal information to other parties at your direction or with your written consent.

Procedures to Protect Confidentiality and Security of Your Personal Information

WRFA maintains a comprehensive information security program designed to protect information from unauthorized access, use, disclosure, alteration or destruction. WRFA has procedures in place that limit access to personal information to those employees who need to know such information in order to perform business services. In addition, WRFA maintains physical, electronic, and procedural safeguards to guard your nonpublic personal information such as:

- Access controls limiting information to authorized personnel based on business need
- Secure systems, encryption, firewalls and monitoring tools
- Employee training regarding privacy and data security obligations
- Oversight of third-party service providers
- Incident response procedures designed to detect, respond to, and recover from security events, notifying clients appropriately

WRFA regularly reviews and updates its policy and procedures when necessary to ensure that your privacy is maintained and that WRFA conducts business in a way that fulfills our commitment to you.

This Privacy Policy may be updated from time to time. If WRFA makes any material changes to this Privacy Policy, we will provide updated notice as required by law. We will make that information available to clients through our web site and may also deliver to you electronically or in physical form.

If you have questions about this Privacy Policy our WRFA privacy practices, please contact: clientportal@wrfasc.com

WebsterRogers Financial Advisors, LLC
PO Box 6707 (29502)
1411 Second Loop Road (29505)
Florence, South Carolina
843.665.6321, Fax 843.656.1101
www.wrfasc.com